

8017-433.CRR.gp.386752

WEIMH PTO/SB/21 (09-04)

Approved for use through 7/31/2006. OMB 0651-0032

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/767,513
Filing Date	January 29, 2004
First Named Inventor	Peter K. Herman
Art Unit	1723
Examiner Name	Unknown
Attorney Docket Number	8017-433
Total Number of Pages in this Submission	6

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- ☐ Amendment Response
- ☐ After Final
- ☐ Affidavits/declaration(s)

- ☐ Extension of Time Request
- ☐ Express Abandonment Request

- ☒ Supplemental Information Disclosure  
Statement

- ☐ Certified Copy of Priority Documents

- ☐ Response to Missing Parts/Incomplete  
Application

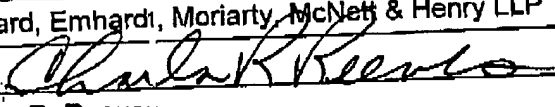
- ☐ Response to Missing Parts under  
37 CFR 1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert a Provisional  
Application
- ☐ Power of Attorney, Revocation,  
Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_
- ☐ Landscape Table on CD

- ☐ After Allowance Communication  
to TC
- ☐ Appeal Communication to Board of  
Appeals and Interferences
- ☐ Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☐ Return Receipt Postcard
- ☒ Other Enclosure(s) (please identify  
below): PTO Form SB08a

Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name	Woodard, Emhardt, Moriarty, McNett & Henry LLP	
Signature		
Printed Name	Charles R. Reeves	Reg. No. 28,750
Date	February 17, 2006	

- ☐ I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.
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Charles R. Reeves  
Typed/printed name of person signing this certificate

  
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Date

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:

Peter K. Herman, et al.

Application No. 10/767,513

Filed January 29, 2004

LIQUID ADDITIVE SLOW-RELEASE  
APPARATUS DRIVEN BY A FILTER  
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) Before the Examiner

) Unknown

) Group Art Unit

) 1723

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENTMail Stop Amendment  
Commissioner for Patents  
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Sir:

1. List of All Patents, Publications, U.S. Applications, or Other Information

Pursuant to the provisions of 37 CFR 1.56, 1.97, and 1.98, Applicant requests consideration of the references listed on the attached PTO/SB/08 form(s) (commonly referred to as a PTO-1449 form) and/or the additional information identified below.

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<u>Charles R. Reeves</u> Typed/printed name of person signing this certificate      Signature	
<u>February 17, 2006</u> Date	

Supplemental Information Disclosure Statement  
Serial No. 10/767,513

2. Enclosed Legible Copies

- a. A legible copy of each foreign patent document and publication listed on the PTO/SB/08 form is enclosed,
- ☐ i. except, a copy is not provided for each reference previously cited by or submitted to the Patent Office in prior U.S. Patent Application Serial No. \_\_\_\_\_, filed \_\_\_\_\_ from which the present application claims priority under 35 U.S.C. §120.
- ☐ ii. except, a copy is not provided for each cumulative reference and enclosed reference identified below:
- ☐ b. A copy of the specification and drawings for each cited unpublished U.S. application.
- ☐ c. A copy of a Search Report or Written Opinion from a foreign patent office is also enclosed.
- ☐ d. Consideration of the enclosed additional and/or below discussed information is requested.

3. Concise Explanation of Relevance for Non-English Language Information

For each non-English language reference listed on the attached SB/08 form(s), reference is made to:

- ☐ 3a. an English language translation submitted herewith,
- ☐ 3b. foreign patent office Search Report (in the English language) submitted herewith,
- ☐ 3c. an English language translation of a foreign patent office Search Report submitted herewith,
- ☐ 3d. the concise explanation contained in the present application at page(s) \_\_\_\_\_,
- ☐ 3e. the concise explanation set forth in the attached English language abstract,
- ☐ 3f. a copy of a related patent publication (in the English language) cited herewith,

Foreign Patent Document	Corresponding English Language Patent Document

- ☐ 3g. the following concise explanation: \_\_\_\_\_

Supplemental Information Disclosure Statement  
Serial No. 10/767,513

4. Time for Filing

☒ 4a. This Information Disclosure Statement is submitted:

- ☐ i. for U.S. national applications or national stage PCT applications (not including CPAs and RCEs), within 3 months of filing or entry into national stage; or
- ☒ ii. for U.S. national applications, national stage PCT applications, CPAs or RCEs, before the first Office Action on the merits,

and thus no certification and/or fee is required.

☐ 4b. This information Disclosure Statement is submitted after three months from the filing date and after the first Office Action on the merits, but prior to the mailing date of a final Office Action or Notice of Allowance, and thus:

- ☐ i. the statement specified in 37 CFR §1.97(e) is provided below, or
- ☐ ii. a fee of \$\_\_\_ (set forth in 37 CFR §1.17(p)) is enclosed.

☐ 4c. This Information Disclosure Statement is submitted after the mailing date of a final Office Action or Notice of Allowance and prior to payment of the issue fee, and thus:

- ☐ i. the statement specified in 37 CFR §1.97(e) is provided below, and a fee of \$ (set forth in 37 CFR §1.17(p)) is enclosed, or
- ☐ ii. a Request for Continued Examination (RCE) and fee of 37 CFR §1.17(e) has been filed.

☐ 4d. This Information Disclosure Statement is submitted after payment of the issue fee, and thus:

- i. a Petition to Withdraw from Issue pursuant to 37 CFR §1.313(c)(2) has been filed, and
- ii. a Request for Continued Examination (RCE) and fee of 37 CFR §1.17(e) has been filed.

5. Certification- Statement Under 37 CFR 1.97(e)

☐ 5a. It is hereby certified that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent

Supplemental Information Disclosure Statement  
Serial No. 10/767,513

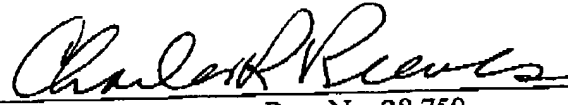
office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

- ☐ 5b. A copy of a dated communication from a foreign patent office which clearly shows the statement is being submitted within three (3) months of the date on the communication is enclosed.
- ☐ 5c. It is hereby certified that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

The filing of this Information Disclosure Statement shall not be construed as an admission that the information cited is, or is considered to be, material to patentability as defined in §1.56(b). It is believed that no additional fees are required. Should any other fee be required, however, please charge such fee to Deposit Account No. 23-3030, but not to include any payment of issue fees.

Respectfully submitted,

By



Charles R. Reeves, Reg. No. 28,750  
Woodard, Emhardt, Moriarty, McNett & Henry LLP  
111 Monument Circle, Suite 3700  
Indianapolis, Indiana 46204-5137  
(317) 634-3456

Supplemental Information Disclosure Statement  
Serial No. 10/767,513

WEEMH PTO/SB/08a (07-05)

Approved for use through 07/31/2008. OMB 0851-0031

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Substitute for form 1449A/PTO <b>SUPPLEMENTAL          INFORMATION DISCLOSURE          STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>			<b>Complete If Known</b>		
			Application Number	10/767,513	
			Filing Date	January 29, 2004	
			First Named Inventor	Peter K. Herman	
			Art Unit	1723	
			Examiner Name	Unknown	
Attorney Docket No.	8017-433				
Sheet	1	of	1		

Sheet	1	of	1		
U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Application of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 6,919,023	07/19/2005	Merritt et al.	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Application of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Country Code <sup>4</sup> -Number <sup>5</sup> -Kind Code <sup>6</sup> (if known)				
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

8017-433.CRR.gp.386742